



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**INVENTORS:** Todd D. Wakefield and David L. Bean

**DOCKET:** 03760.016/5133P

**TITLE:** Methods and products for integrating mixed format data including the extraction of relational facts from free text

**SERIAL NO.:** 10/729,889

**FILED:** 12/5/2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Cover Letter: Information Disclosure Statement**

Honorable Commissioner:

Please find enclosed an information disclosure statement by applicant, whereby applicant seeks to meet his duty of disclosure. Applicant does not provide copies of the U.S. patents or patent application publications, as the requirement has been waived for applications filed after June 30, 2003 (See Official Gazette: 05 August 2003).

Respectfully submitted this 5 day of March, 2004.

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of

10

**Complete if Known**

Application Number	10/729,889
Filing Date	December 5, 2003
First Named Inventor	Wakefield, Todd D.
Art Unit	
Examiner Name	
Attorney Docket Number	03760.016/5133P

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 4,914,590	04-03-1990	Loatman et al.	
		US- 5,694,523	12-02-1997	Wical	
		US- 5,933,818	08-03-1999	Kasravi et al.	
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		US- 5,930,788	07-27-1999	Wical	
		US- 5,940,821	08-17-1999	Wical	
		US- 5,953,718	09-14-1999	Wical	
		US- 5,963,940	10-05-1999	Liddy et al.	
		US- 6,038,560	03-14-2000	Wical	
		US- 6,061,675	05-09-2000	Wical	
		US- 6,076,088	06-13-2000	Paik et al.	
		US- 6,199,034	03-06-2001	Wical	
		US- 6,263,335	07-17-2001	Paik et al.	

**FOREIGN PATENT DOCUMENTS**

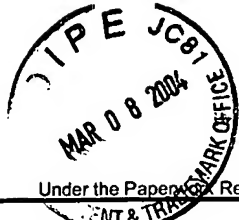
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO-98/24016	06-04-1998	Devoino et al.		
		WO-99/18527	04-15-1999	Batchilo et al.		
		WO-00/14651	03-16-2000	Tsourikov et al		
		WO-00/46703	08-10-2000	Devoino et al.		

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Sheet 2 of 10**Complete if Known**

Application Number	10/729,889
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		US- 6,460,034	10-01-2002	Wical	
		US- 6,487,545	11-26-2002	Wical	
		US- 6,507,829	01-14-2003	Richards et al.	
		US- 6,513,006	01-28-2003	Howard et al.	
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		US- 6,553,385	04-22-2003	Johnson et al.	
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		US- 4,965,763	10-23-1990	Zamora	
		US- 4,992,972	02-12-1991	Brooks et al.	
		US- 5,146,405	09-08-1992	Church	
		US- 5,614,899	03-25-1997	Tokuda et al.	
		US- 5,696,916	12-09-1997	Yamazaki et al.	
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		US- 5,844,798	12-01-1998	Uramoto	
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		US- 5,901,068	05-04-1999	Batchilo et al.	
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		US- 6,202,043	03-13-2001	Devoino et al.	
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4

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		US- 4,864,502	09-05-1989	Kucera et al.	
		US- 4,868,750	09-19-1989	Kucera et al.	
		US- 4,887,212	12-12-1989	Zamora et al.	
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		US- 5,559,693	09-24-1996	Anick et al.	
		US- 5,682,539	10-28-1997	Conrad et al.	
		US- 5,687,384	11-11-1997	Nagase	

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5

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		US- 5,721,938	02-24-1998	Stuckey	
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		US- 5,991,539	11-23-1999	Williams	
		US- 6,052,693	04-18-2000	Smith et al.	
		US- 6,138,087	10-24-2000	Budzinski	
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		US- 6,505,157	01-07-2003	Elworthy	
		US- 6,535,886	03-18-2003	Koontz	
		US- 6,553,385	04-22-2003	Johnson et al.	
		US- 6,556,964	04-29-2003	Haug et al.	

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		US- 6,609,087	08-19-2003	Miller et al.	
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		US- 5,060,155	10-22-1991	van Zuijlen	
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		US- 5,146,406	09-08-1992	Jensen	
		US- 5,311,429	05-10-1994	Tominaga	
		US- 5,418,717	05-23-1995	Su et al.	
		US- 5,438,511	08-01-1995	Maxwell, III et al.	
		US- 5,475,587	12-12-1995	Anick et al.	
		US- 5,490,061	02-06-1996	Tolin et al.	
		US- 5,594,837	01-14-1997	Noyes	
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		US- 5,761,631	06-02-1998	Nasukawa	
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		US- 5,819,210	10-06-1998	Maxwell, III et al.	
		US- 5,841,900	11-24-1998	Rahgozar et al.	
		US- 5,878,406	03-02-1999	Noyes	
		US- 5,903,860	03-11-1999	Maxwell, III et al.	
		US- 5,963,742	10-05-1999	Williams	

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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**Complete if Known**

Sheet 7 of 10

Application Number 10/729,889  
 Filing Date December 5, 2003  
 First Named Inventor Wakefield, Todd D.  
 Art Unit \_\_\_\_\_  
 Examiner Name \_\_\_\_\_  
 Attorney Docket Number 03760.016/5133P

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,966,686	10-12-1999	Heidorn et al.	
		US- 5,983,169	11-09-1999	Kozma	
		US- 5,995,922	11-30-1999	Penteroudakis et al.	
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		US- 6,023,760	02-08-2000	Karttunen	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

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Sheet

8

of

10

**Complete if Known**

Application Number	10/729,889
Filing Date	December 5, 2003
First Named Inventor	Wakefield, Todd D.
Art Unit	
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Attorney Docket Number	03760.016/5133P

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		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,609,091	08-19-2003	Budzinski	
		US- 6,611,825	08-26-2003	Billheimer et al.	
		US- 20020007358	01-17-2002	Johnson et al.	
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		US- 20020042711	04-11-2002	Lin	
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		US- 20030078899	04-24-2003	Shanahan	

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 9 of 10

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Application Number	10/729,889
Filing Date	December 5, 2003
First Named Inventor	Wakefield, Todd D.
Art Unit	
Examiner Name	
Attorney Docket Number	03760.016/5133P

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/729,889
				Filing Date	December 5, 2003
				First Named Inventor	Wakefield, Todd D.
				Art Unit	
				Examiner Name	
Sheet	10	of	10	Attorney Docket Number	03760.016/5133P

NON PATENT LITERATURE DOCUMENTS			
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		BEAN, "Corpus-Based Identification ...", 27th Annual Meeting of the Association of Computational Linguistics, June 20-26 1999, p. 373-380, University of Maryland, USA	
		RILOFF, "An Empirical Approach to Conceptual Case Frame Acquisition", Proceedings of the Sixth Workshop on Very Large Corpora, 1998	
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		ZICKUS, "A Software Engineering Approach to Developing an Object-Oriented Lexical Access Database and Semantic Reasoning Module", 1995, University of Delaware	
		GIRARDI, "An Approach to Improve the Effectiveness of Software...", Proceedings of the Third Irvine Software Symposium (ISS'93), April 30, 1993, Irvine, California, pp. 89-100	
		"State-of-the-art of Spoken Language Systems - a Survey", Sept. 1992, Speech Technology Centre, Aalborg University, Aalborg, Denmark	

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